

# Openfunds Fields (sorted by OF-ID)

Status: FINAL

Version: Version 1.26.3

(Including fields of this and all preceding versions.)

Date: 2020-07-20

Notice: IMPORTANT NOTICE:

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With this list (version 1.26.3) a new field set has been added to cover information generally contained in Fund Factsheets. This field set, openfunds Fund Ratios and Exposures, has been assigned the new openfunds field prefix OFRE. To receive more information about the initiative openfunds, visit <https://www.openfunds.org>.

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In general, openfunds uses lower case for values, i.e. "yes" / "no". However, implementations of interfaces based on the openfunds standard should not be case sensitive as many existing systems use a different diction.

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Please note, that as a general rule an empty field means "unknown" within openfunds. To avoid any deletion of a value in the receiving database, openfunds recommends using the command "[IGNORE]" instead of a value. (Without quotation marks but including square brackets. All capital letters).

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Excel knows different ways of formatting percentage figures. As some of them might not convert properly into decimal figures, openfunds strongly recommends using decimal figures only.

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Please note that fields that are no longer supported are now marked at the top of the field description and no longer at the bottom.

Recipients covered by this report:

No Filter

## Data Identifier (OF-ID) and Field Tags:

OF-ID Range	Field Tags
OFST000001 - OFST000999	General information
OFST001000 - OFST004999	Key Fact: Company
OFST005000 - OFST009999	Key Fact: Umbrella
OFST010000 - OFST019999	Key Fact: Fund
OFST020000 - OFST049999	Key Fact: Share Class
OFST050000 - OFST054999	Key Fact: Fund Manager
OFST060000 - OFST064999	Key Fact: Listing
OFST100000 - OFST109999	Eligibility Fields
OFST160000 - OFST164999	Legal structure
OFST350000 - OFST399999	Classification
OFST400000 - OFST449999	Purchase information
OFST450000 - OFST450099	Structured Securities
OFST450100 - OFST499999	Fees, Costs and expenses
OFST500000 - OFST519999	Solvency II
OFST6000XX - OFST6999XX	Multiple country field (XX=ISO country code)
OFST700000 - OFST799999	Country specific field
OFST800000 - OFST819999	Taxes
OFST900000 - OFST999900	Internal fields of different recipients. Please see the next page for a detailed overview of assigned blocks.
OFST999990	Non-openfunds field (use senders field name instead)
OFST999999	OF-ID indicating a field to ignore, i.e. not to digest into recipient's db
OFDY000001 - OFDY000999	Prices, assets and number of shares
OFDY005000 - OFDY005999	Corporate actions including dividends
OFDY006000 - OFDY009999	Taxes
OFDY023000 - OFDY023099	Structured Securities
OFDY023100 - OFDY023999	Costs
OFDY025000 - OFDY049999	Performance and risk data
OFDY100000 - OFDY100100	Specific fields for data transmission
OFNW000001 - OFNW00019	News meta data
OFNW000600 - OFNW00069	News content
OFNW6000XX - OFNW6999X	Multiple news related country field (XX=ISO country codes)
OFNW900000 - OFNW99990	Internal fields of different recipients For more details please refer to description of OFST900000-OFST999900.
OFNW999990	Non-openfunds news field (use senders field name instead)
OFNW999999	OF-ID indicating a news field to ignore, i.e. not to digest into recipient's db
OFPM000001 - OFPM999999	Portfolio Manager Data
OFUPnnnnnn - ...	Distributor Oversight Report / Upstream Reporting
OFEM000001 - OFEM999999	European MiFID Template data
OFEP000001 - OFEP999999	European PRIIPs Template data



## OFST900000 - OFST999900 Internal fields of different recipients:

Already assigned blocs:

OFST900000 - OFST900299 (FE fundinfo)

OFST900300 - OFST900599 (UBS)

OFST900700 - OFST900999 (Acolin)

OFST901000 - OFST901299 (Credit Suisse)

OFST901300 - OFST901349 (ifsam)

OFST901700 - OFST901999 (Allfunds)

OFST902000 - OFST902299 (Fondcenter)

Please contact [businessoffice@openfunds.org](mailto:businessoffice@openfunds.org), if you would like to apply for internal numbers.

OF-ID	<b>OFST010220</b>	Field Name	<b>Has Embedded Derivatives</b>
Field Tags	Key Fact: Fund   No longer supported field		
Field Level	Fund	Link Reference	
Data Type	boolean	Introduced / Revoked	0.9 / --
Description	<p>If the prospectus allows the usage of derivatives for Efficient Portfolio Management, it will not be classified as a derivative product, i.e. it has no embedded derivatives. "Yes" means that the fund might be classified as a derivative product and therefore might be classified as riskier.</p> <p>Efficient Portfolio Management includes:</p> <ol style="list-style-type: none"> <li>1) Hedging purposes</li> <li>2) Cash Management</li> <li>3) Providing access to restricted markets</li> </ol> <p>Any usage of derivatives outside of the Efficient Portfolio Management definition above will cause the fund to be considered as a derivative product.</p> <p>More information can be found in the Consultation Paper on Proposed Amendments to the Code on Unit Trusts and Mutual Funds:  <a href="https://www.sfc.hk/edistributionWeb/gateway/EN/consultation/product-authorization/openFile?refNo=17CP8">https://www.sfc.hk/edistributionWeb/gateway/EN/consultation/product-authorization/openFile?refNo=17CP8</a>  <a href="https://www.sfc.hk/edistributionWeb/gateway/EN/circular/openFile?refNo=H683">https://www.sfc.hk/edistributionWeb/gateway/EN/circular/openFile?refNo=H683</a></p>		
Values	"yes" / "no"		
Example	yes		

OF-ID	<b>OFST020030</b>	Field Name	<b>Legal Share Class Code</b>
Field Tags	No longer supported field   Key Fact: Share Class   Codes		
Field Level	Share Class		Link Reference
Data Type	string	Introduced / Revoked	0.87 / 1
Description	//No longer supported.//  A unique code to identify the share class.		
Values			
Example	GCQSA		

OF-ID	<b>OFST024043</b>	Field Name	<b>PRIP VEV-1</b>
Field Tags	Key Fact: Share Class   EPT   No longer supported field   PRIIP data		
Field Level	Share Class		Link Reference
Data Type	double	Introduced / Revoked	1.1 / 1.23
Description	//No longer supported.//  The Value-at-Risk (VaR) equivalent Volatility (VEV) of the preceding month as the last four VEV are needed to calculate "OFST024013 PRIIP Market Risk Measure".  For more information please refer to the description of "OFST024038 PRIIP VEV".		
Values	Decimal figure, rounded to maximal 7 digits after the decimal point.		
Example			

OF-ID	<b>OFST024044</b>	Field Name	<b>PRIP VEV-2</b>
Field Tags	PRIIP data   No longer supported field   EPT   Key Fact: Share Class		
Field Level	Share Class		Link Reference
Data Type	double	Introduced / Revoked	1.1 / 1.23
Description	//No longer supported.//  The Value-at-Risk (VaR) equivalent Volatility (VEV) of the month before the last month as the last four VEV are needed to calculate "OFST024013 PRIIP Market Risk Measure".  For more information please refer to the description of "OFST024038 PRIIP VEV".		
Values	Decimal figure, rounded to maximal 7 digits after the decimal point.		
Example			

OF-ID	<b>OFST024045</b>	Field Name	<b>PRIIP VEV-3</b>
Field Tags	PRIIP data   No longer supported field   Key Fact: Share Class   EPT		
Field Level	Share Class	Link Reference	
Data Type	double	Introduced / Revoked	1.1 / 1.23
Description	//No longer supported.//  The Value-at-Risk (VaR) equivalent Volatility (VEV) of the third month before the actual month as the last four VEV are needed to calculate "OFST024013 PRIIP Market Risk Measure".  For more information please refer to the description of "OFST024038 PRIIP VEV".		
Values Example	Decimal figure, rounded to maximal 7 digits after the decimal point.		

OF-ID	<b>OFST024169</b>	Field Name	<b>PRIIP Capital Guarantee Early Exit Conditions In Month</b>
Field Tags	PRIIP data   EPT   No longer supported field   Key Fact: Share Class		
Field Level	Share Class	Link Reference	OFST024165
Data Type	integer	Introduced / Revoked	1.1 / 1.23
Description	//No longer supported. Please use "OFST024170 PRIIP Capital Guarantee Early Exit Conditions Date" instead.//  If you cash-in early this number of month or more, the protection against future market performance will no longer apply. If "OFST024165 Has PRIIP Capital Guarantee" is "no", this field should be kept empty.  //This field was introduced by the European Working Group with their PRIIPS Data Dictionary Template (EPT). EPT: 04083_Capital_Guarantee_Early_Exit_Conditions More information can be found in the Regulatory Technical Standards (RTS), Article 3.2 (d), (f) and Annex 3, paragraph 6 [Element G]. //		
Values Example	1, 2, 3, ... n 2		



OF-ID	<b>OFST050010</b>	Field Name	<b>Fund Manager Name</b>
Field Tags	Key Fact: Fund Manager   No longer supported field   People		
Field Level	Fundmanager	Link Reference	
Data Type	string	Introduced / Revoked	1.2 / 1.24
Description	//No longer supported. Please use "OFPM000010 Portfolio Manager Name" instead.// Fund manager's first name and surname.		
Values	first name and surname		
Example	Paul Miller		

OF-ID	<b>OFST050011</b>	Field Name	<b>Fund Manager Year Of Birth</b>
Field Tags	People   Key Fact: Fund Manager   No longer supported field		
Field Level	Fundmanager	Link Reference	OFST050010
Data Type	date	Introduced / Revoked	1.2 / 1.24
Description	//No longer supported. Please use "OFPM000020 Portfolio Manager Date Of Birth.// Fund manager's date of birth. This field is linked to field "OFST050010 Fund Manager Name".		
Values	YYYY		
Example	1981		

OF-ID	<b>OFST050012</b>	Field Name	<b>Fund Manager Gender</b>
Field Tags	Key Fact: Fund Manager   No longer supported field   People		
Field Level	Fundmanager	Link Reference	OFST050010
Data Type	string	Introduced / Revoked	1.2 / 1.24
Description	//No longer supported. Please use "OFPM000030 Portfolio Manager Gender.// Fund manager's gender. This field is linked to field "OFST050010 Fund Manager Name".		
Values	"female" / "male"		
Example	male		

OF-ID	<b>OFST050015</b>	Field Name	<b>Fund Manager Role</b>
Field Tags	Key Fact: Fund Manager   People   No longer supported field		
Field Level	Fundmanager	Link Reference	OFST050010
Data Type	string	Introduced / Revoked	1.2 / 1.24
Description	//No longer supported. Please use "OFPM000110 Portfolio Manager Role" instead.// Role of fund manager. Generally, this is either the "lead manager" role or the "co-manager" role. This field is linked to field "OFST050010 Fund Manager Name".		
Values	"lead" / "deputy" / "co-manager" / "product specialist"		
Example	co-manager		

OF-ID	<b>OFST050016</b>	Field Name	<b>Fund Manager Year Of Experience Start</b>
Field Tags	Key Fact: Fund Manager   No longer supported field   People		
Field Level	Fundmanager	Link Reference	OFST050010
Data Type	date	Introduced / Revoked	1.2 / 1.24
Description	//No longer supported. Please use "OFPM000040:Portfolio Manager Year Of Experience Start" instead.//		
	When did the fund manager start his professional career in the financial industry? This field is linked to field "OFST050010 Fund Manager Name".		
Values	YYYY		
Example	1999		

OF-ID	<b>OFST050017</b>	Field Name	<b>Fund Manager Starting Date</b>
Field Tags	Key Fact: Fund Manager   No longer supported field   People		
Field Level	Fundmanager	Link Reference	OFST050010
Data Type	date	Introduced / Revoked	1.2 / 1.24
Description	//No longer supported. Please use "OFPM000120:Portfolio Manager Role Starting Date" instead.//		
	When did the actual fund manager start managing this fund? This field is independent to the fund manager's role ("OFST050015 Fund Manager Role"). For a fund manager who started on the 3rd of March 2008 as a deputy manager and was promoted lead manager on the 1st of July 2011 this field should contain 2008-03-03. This field is linked to field "OFST050010 Fund Manager Name".		
Values	YYYY-MM-DD		
Example	2008-03-03		

OF-ID	<b>OFST050019</b>	Field Name	<b>Fund Manager Brief Biography</b>
Field Tags	People   Key Fact: Fund Manager   No longer supported field		
Field Level	Fundmanager	Link Reference	OFST050010
Data Type	string	Introduced / Revoked	1.2 / 1.24
Description	//No longer supported. Please use "OFPM000060:Portfolio Manager Brief Biography.//		
	A brief curriculum vitae (CV) of the fund manager. This field is linked to field "OFST050010 Fund Manager Name".		
Values	A brief CV.		
Example	Paul studied Finance at Superstar University and started his career at Morerich Brokers. In 2005 he joined ABC Asset Management as an analyst.		

OF-ID	<b>OFST160150</b>	Field Name	<b>Home Country Legal Type Of Fund</b>
Field Tags	Country specific field   Legal structure   No longer supported field		
Field Level	Fund	Link Reference	
Data Type	string	Introduced / Revoked	0.89 / --
Description	Besides the legal form (OFST160100) a further legal classification of funds based on national regulations.		
Values	<p>Switzerland:</p> <ul style="list-style-type: none"> <li>- securities fund</li> <li>- real estate fund</li> <li>- other funds for traditional investments</li> <li>- other funds for alternative investments</li> </ul> <p>Luxembourg:</p> <ul style="list-style-type: none"> <li>- partie I</li> <li>- partie II</li> <li>- specialized investment fund (SIF)</li> <li>- reserved alternative investment fund (RAIF)</li> </ul> <p>Ireland:</p> <ul style="list-style-type: none"> <li>- retail fund</li> <li>- qualifying investor fund (QIF)</li> <li>- professional investor fund (PIF)</li> <li>- foreign fund</li> </ul> <p>Germany:</p> <ul style="list-style-type: none"> <li>- inländischer OGAW (162ff KAGB)</li> <li>- offener inländischer Publikums-AIF (214ff KAGB)</li> <li>- geschlossener inländischer Publikums-AIF (261ff KAGB)</li> <li>- offener inländischer Spezial-AIF (278ff KAGB)</li> <li>- geschlossener inländischer Spezial-AIF (285ff KAGB)</li> </ul> <p>Singapore:</p> <ul style="list-style-type: none"> <li>- authorised CIS</li> <li>- restricted authorised CIS</li> </ul>		
Example	authorised CIS		

OF-ID	<b>OFST160160</b>	Field Name	<b>Home Country Restrictions</b>
Field Tags	Legal structure   No longer supported field   Country specific field		
Field Level	Fund	Link Reference	
Data Type	string	Introduced / Revoked	0.89 / 1
Description	//No longer supported. Please use "OFST6050XX Country Specific Restrictions" instead.//  Investor related restrictions arising from home country regulations.		
Values	CH: qualified investor LU: well informed investor IE: professional investor IE: qualifying investor DE: professional investor DE: semi-professional investor DE: qualified private investor SG: sophisticated investor  (empty, if not applicable)		
Example	CH: qualified investor		

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OF-ID	<b>OFST405051</b>	Field Name	<b>Frequency Of Subscription</b>
Field Tags	Execution data   No longer supported field   Purchase information		
Field Level	Share Class		Link Reference
Data Type	string		Introduced / Revoked 0.91 / 1.24
Description	//No longer supported. Please use "OFST405521 Subscription Trade Cycle Period" instead.//		
	Shortest period of two subscriptions. Minimum is daily.		
Values	"daily" / "twice a week" / "weekly" / "twice a month" / "monthly" / "quarterly" / "twice a year" / "annually" / "at least annually"		
Example	quarterly		

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OF-ID	<b>OFST420551</b>	Field Name	<b>Frequency Of Redemption</b>
Field Tags	Purchase information   Execution data   No longer supported field		
Field Level	Share Class		Link Reference
Data Type	string		Introduced / Revoked 0.91 / 1.24
Description	//No longer supported. Please use "OFST425561 Redemption Trade Cycle Period" instead.//		
	Period of redemption of shares.		
Values	"daily" / "twice a week" / "weekly" / "twice a month" / "monthly" / "quarterly" / "twice a year" / "annually" / "at least annually"		
Example	quarterly		

OF-ID	<b>OFST451025</b>	Field Name	<b>Has MiFID Performance Fee</b>
Field Tags	MiFID II data   Fees and expenses   No longer supported field   EMT		
Field Level	Share Class	Link Reference	OFST451026
Data Type	boolean	Introduced / Revoked	1.24 / 1.26
Description	//No longer supported. For EMT please use the regulatory-specific "OFEM000450 EMT Has MiFID Performance Fee".//  Does the prospectus facilitate a performance fee? If there exists a Performance fee, please fill this field with "yes" and provide respective data in the field "OFST451026 Ex-ante MiFID Performance Fee". If the share class has the status "projected" or "to be launched" and it is intended that it will have performance fees, please insert "yes" and the value "0" in "OFST451026 Ex-ante MiFID Performance Fee" Otherwise, fill this field with "no" and leave the field "OFST451026 Ex-ante MiFID Performance Fee" empty.  // The correspondent MiFID II field introduced by the European Working Group with their MiFID Data Dictionary Template (EMT) is: EMT: 00045_Financial_Instrument_Performance_Fee//		
Values	"yes" / "no"		
Example	yes		

OF-ID	<b>OFST451200</b>	Field Name	<b>Redemption Charge In Favour Of Fund</b>
Field Tags	No longer supported field   Fees and expenses		
Field Level	Share Class	Link Reference	
Data Type	double	Introduced / Revoked	0.87 / 1
Description	//No longer supported. Please use "OFST451440 Maximum Redemption Fee In Favour Of Fund" instead.//  If there is a fee charged at redemption to compensate investors staying in the fund: please indicate how much is charged.		
Values	Decimal figure, rounded to maximal 7 digits after the decimal point.		
Example	0.01125 (instead of 1.125%)		

OF-ID	<b>OFST451380</b>	Field Name	<b>Germany Front Load</b>
Field Tags	No longer supported field   Attribute		
Field Level	Share Class	Link Reference	
Data Type	double	Introduced / Revoked	0.87 / --
Description	//No longer supported.//  Front load applied in Germany. Also known as Ausgabeaufschlag. For Germany only (depending on Sales Registration!). Please note, this fee is not in favour of the fund, but kept by distributing parties.		
Values	Decimal figure, rounded to maximal 7 digits after the decimal point.		
Example	0.025 (instead of 2.5%)		

OF-ID	<b>OFST6040XX</b>	Field Name	<b>Home Country Restrictions</b>	
Field Tags	No longer supported field   Multiple country field (XX=ISO country code)   Key Fact: Fund			
Field Level	Fund		Link Reference	
Data Type	string		Introduced / Revoked	0.9 / 1
Description	//No longer supported. Please use "OFST6050XX Country Specific Restrictions" instead.//  Further specification of the home country's registration type (Legal Registration OFST6030XX, where XX stands for the domicile's country ISO code (3166-1 alpha-2) and OFST6030XX is marked as "res". This field can only hold one country per ISIN.  Luxembourg Specialized Investment Fund (SIF): Luxembourg domiciled fund for well informed investors only.  Singapore Authorised CIS: Singapore scheme authorised for offer to the retail public. (Not restricted. Mentioned only for completeness) Restricted authorised CIS: Singapore scheme authorised for offer only to sophisticated investors.  Switzerland Fund for qualified investor: Swiss domiciled fund, distributed only to qualified investors.			
Values	"specialized investment fund (LU)", "restricted authorised CIS (SG)", "fund for qualified investors (CH)",			
Example	specialized investment fund (LU)			

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OF-ID	<b>OFST710111</b>	Field Name	<b>Is An Investment In High Yield Bonds Allowed</b>
Field Tags	Country specific field   No longer supported field		
Field Level	Fund	Link Reference	
Data Type	boolean	Introduced / Revoked	1.24 / 1.24
Description	//No longer supported. Please use "OFST710115 Maximal Allowable Percentage Of High Yield Bonds" instead.//  High-yield bonds refer to bonds, which are generally below investment grade or are unrated.  For detailed information, please consider the following links: <a href="http://www.sfc.hk/edistributionWeb/gateway/EN/circular/doc?refNo=12EC12">http://www.sfc.hk/edistributionWeb/gateway/EN/circular/doc?refNo=12EC12</a>  <a href="http://www.sfc.hk/edistributionWeb/gateway/EN/circular/doc?refNo=14EC18">http://www.sfc.hk/edistributionWeb/gateway/EN/circular/doc?refNo=14EC18</a>		
Values	"yes" / "no"		
Example	yes		



OF-ID	<b>OFST710112</b>	Field Name	<b>Is An Investment In Complex Bonds Allowed</b>
Field Tags	Country specific field   No longer supported field		
Field Level	Fund	Link Reference	
Data Type	boolean	Introduced / Revoked	1.24 / 1.24
Description	<p>//No longer supported. Please use "OFST710113:Maximal Allowable Percentage Of Complex Bonds" instead.//</p> <p>Complex bonds refer to bonds with special features, including:</p> <ul style="list-style-type: none"> <li>That are perpetual in nature and interest pay-out depends on the viability of the issuer in the very long term;</li> <li>That have subordinated ranking and in case of liquidation of the issuer, investors can only get back the principal after other senior creditors are paid;</li> <li>That have variable and/or deferral of interest payment terms and investors would face uncertainty over the amount and time of the interest payments to be received;</li> <li>That have extendable maturity dates and investors would not have a definite schedule of principal repayment;</li> <li>That are convertible or exchangeable in nature and investors are subject to both equity and bond investment risk; and/or</li> <li>That have contingent write down or loss absorption feature and the bond may be written-off fully or partially or converted to common stock on the occurrence of a trigger event.</li> <li>Those with multiple credit support providers and structures</li> </ul> <p>For detailed information, please consider the following links:  <a href="http://www.sfc.hk/edistributionWeb/gateway/EN/circular/doc?refNo=12EC12">http://www.sfc.hk/edistributionWeb/gateway/EN/circular/doc?refNo=12EC12</a>  <a href="http://www.sfc.hk/edistributionWeb/gateway/EN/circular/doc?refNo=14EC18">http://www.sfc.hk/edistributionWeb/gateway/EN/circular/doc?refNo=14EC18</a></p>		
Values	"yes" / "no"		
Example	yes		

OF-ID	<b>OFST800050</b>	Field Name	<b>EU Savings Directive</b>
Field Tags	Key Fact: Share Class   Taxes   No longer supported field		
Field Level	Share Class	Link Reference	
Data Type	string	Introduced / Revoked	0.85 / 1
Description	//No longer supported.//  Classification as 'in scope' or 'out of scope' according to the European savings directive.  Please note this field will become obsolete after the 1st of January 2018 as the Savings Directive 2003/48/EC was repealed by the Council in November 2015.		
Values	"in scope" / "in scope - no tax" / "out of scope" / "in scope - tax"  Please note, that an empty field means "unknown" as a general rule within openfunds. To avoid any deletion of a value in the receiving database, openfunds recommends to use the command "[IGNORE]" instead of a value. (Without quotation marks, but including square brackets. All capital letters).		
Example	in scope		

OF-ID	<b>OFST802000</b>	Field Name	<b>German Tax Category</b>
Field Tags	Taxes   No longer supported field   Key Fact: Share Class		
Field Level	Share Class	Link Reference	
Data Type	string	Introduced / Revoked	0.85 / 1.24
Description	//No longer supported as the German Investment Tax Act has been amended.//  German tax category as regulated by the German Investmentsteuergesetz (InvStG) §5ff.		
Values	"intransparent" / "semitransparent" / "transparent"		
Example	transparent		

OF-ID	<b>OFST802010</b>	Field Name	<b>Has Daily Delivery Of Interim Profit To WM Daten</b>
Field Tags	Taxes   No longer supported field		
Field Level	Share Class	Link Reference	
Data Type	boolean	Introduced / Revoked	0.92 / 1.24
Description	//No longer supported as the German Investment Tax Act has been amended.//  Indicates, whether the fund files tax figures to WM Daten. This ensures that German banks holding units of this fund are able to calculate correct tax withholding.		
Values	"yes" / "no"		
Example	no		

OF-ID	OFST809510	Field Name	FATCA Status
Field Tags	Taxes   No longer supported field		
Field Level	Share Class		Link Reference
Data Type	string		Introduced / Revoked 0.9 / 1.1
Description	//No longer supported. Please use "OFST809511 FATCA Status V2" instead.//		

Please insert the FATCA status as given by the Internal Revenue Service (IRS).

Format: Closed Field

"Non participating FFI (including a limited FFI or an FFI related to a Reporting IGA FFI other than a registered deemed-compliant FFI or participating FFI)"

"Participating FFI"

"Reporting Model 1 FFI"

"Reporting Model 2 FFI"

"Registered deemed-compliant FFI (other than a reporting Model 1 FFI or sponsored FFI that has not obtained a GIIN)"

"Sponsored FFI that has not obtained a GIIN"

"Certified deemed-compliant nonregistering local bank"

"Certified deemed-compliant FFI with only low-value accounts"

"Certified deemed-compliant sponsored, closely held investment vehicle"

"Certified deemed-compliant limited life debt investment entity"

"Certified deemed-compliant investment advisors and investment managers"

"Owner-documented FFI"

"Restricted distributor"

"Nonreporting IGA FFI (including an FFI treated as a registered deemed-compliant FFI under an applicable Model 2 IGA)"

"Foreign government, government of a U.S. possession, or foreign central bank of issue"

"International organization"

"Exempt retirement plans"

"Entity wholly owned by exempt beneficial owners"

"Territory financial institution"

"Nonfinancial group entity"

"Excepted nonfinancial start-up company"

"Excepted nonfinancial entity in liquidation or bankruptcy"

"501(c) organization"

"Nonprofit organization"

"Publicly traded NFFE or NFFE affiliate of a publicly traded corporation"

"Excepted territory NFFE"

"Active NFFE"

"Passive NFFE"

"Excepted inter-affiliate FFI"

"Direct reporting NFFE"

"Sponsored direct reporting NFFE"

For further information please refer to:

<https://www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA>

Values see description

Example Participating FFI